

IFW

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

17449

In Re Application Of: Shuichi Ohkubo



Application No.

10/781,999

Filing Date

February 19, 2004

Examiner

Unassigned

Customer No.

23389

Group Art Unit

1752

Confirmation No.

5322

Title: **OPTICAL INFORMATION-RECORDING MEDIA AND OPTICAL INFORMATION-RECORDING/REPRODUCTION APPARATUS**

Address to:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

- ☐ the statement specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
17449

In Re Application: Shuichi Ohkubo

Application No. 107/81,999	Filing Date February 19, 2004	Examiner Unassigned	Customer No. 23389	Group Art Unit 1752	Confirmation No. 5322
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Title: **OPTICAL INFORMATION-RECORDING MEDIA AND OPTICAL INFORMATION-RECORDING/
REPRODUCTION APPARATUS**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **19-1013/SSMP** as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
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- ☐ Payment by credit card. Form PTO-2038 is attached.

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June 9, 2005
(Date)

Signature of Person Mailing Correspondence
Paul J. Esatto, Jr.

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***This certificate may only be used if paying by deposit account.**

Dated: June 9, 2005

Signature

Paul J. Esatto, Jr.
Reg. No. 30,749
SCULLY, SCOTT, MURPHY & PRESSER
400 Garden City Plaza - Ste. 300
Garden City, NY 11530
(516) 742-4343
PJE:jam

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Shuichi Ohkubo
Serial No.: 10/781,999
Filed: February 19, 2004
For: OPTICAL INFORMATION-RECORDING MEDIA
AND OPTICAL INFORMATION-RECORDING/
REPRODUCTION APPARATUS
Examiner: Unassigned
Art Unit: 1752
Docket: 17449
Dated: June 9, 2005
Conf. No.: 5322

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. European Patent Publication No. EP 1 172 811 A2, dated January 16, 2002;
2. European Patent Publication No. EP 1 229 530 A2, dated August 7, 2002;

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 9, 2005.

Dated: June 9, 2005




Paul J. Esatto, Jr.

3. United States Patent No. 5,789,055, issued to Yoon, dated August 4, 1998; and
4. United States Patent Application Publication No. 2002/0146643 A1, to Shingai et al., dated October 10, 2002.

The references were cited in a Search Report dated April 5, 2005 received from the European Patent Office. Applicant is submitting copies of reference numbers 1 and 2, together with a copy of the Search Report. In accordance with the waiver of 37 C.F.R. § 1.98 (a)(2)(i) in effect as of June 30, 2003, applicant is not required to submit copies of the above-cited U.S. Patent references. The relevance of the above-identified references has been described in the Search Report.

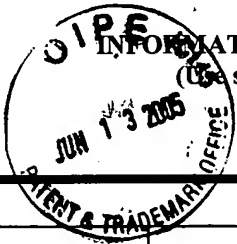
Further, the undersigned hereby states that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,


Paul J. Esatto, Jr.
Registration No. 30,749

Scully, Scott, Murphy & Presser
400 Garden City Plaza - Ste. 300
Garden City, New York 11530
(516) 742-4343

PJE:jam

 INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)				Docket Number (Optional) 17449		Application Number 10/781,999	
				Applicant(s) Shuichi Ohkubo			
				Filing Date February 19, 2004		Group Art Unit 1752	
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,789,055	8/4/1998	Yoon			
		2002/0146643 A1	10/10/2002	Shingai			
FOREIGN PATENT DOCUMENTS							
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
		EP 1 172 811 A2	1/16/2002	EPO			
		EP 1 229 530 A2	8/7/2002	EPO			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
EXAMINER				DATE CONSIDERED			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							